



# Modeling MODIS performance/radiometric ray tracing

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# Guideline



- The generic key elements of pre-launch characterization, on-orbit responsivity tracking and vicarious calibration of satellite ocean color radiometers;
- The implementations of these elements as applied to SeaWiFS and MODIS, especially in the context of ongoing difficulties in deriving water-leaving radiances, from Terra and Aqua MODIS data, that are accurate enough to derive Climate Data Records (CDR) of acceptable quality;
- Lessons to be learned and applied as NASA and other agencies prepare for future pre-launch and on-orbit characterizations of ocean color satellite missions, including VIIRS on NPP and NPOESS.**



# Lesson learned



- Improve radiometric models.
- Radiometric models  $\Leftrightarrow$  Simulations (traceable to first principles)
- Start developing the simulations in support of instrument testing and preferably in proposal and pre-phase A.
- Refine the simulations during instrument testing.



# Simulations



Simulations are used to model phenomena which is, because of complexity, analytically intractable.

Simulations are computer processing intensive.

First computer simulation (in the modern sense) was

Monte Carlo radiation (nuclear) transport

Other (closer to home) examples:

- Image formation of complex (MODIS) optical systems. (Light) Ray (simulation) tracing predicts image quality.
- Structural NASTRAN (simulation) model.
- Thermal SINDA(?) (simulation) model.
- MODIS Ghosting, stray light and point spread function (simulations – not closed form but lots of rays)



# Is it worth the price?



Effort and expense in creating simulations is not small because of fewer approximations and amount of detail required. (Was computer intensity, but not as big a problem at 3Ghz. Compare to 25Mhz in 1990. Not to mention storage capacity.)

Benefit is great, especially in a long, multi-year and multi-instrument effort because a *virtual instrument* is created.



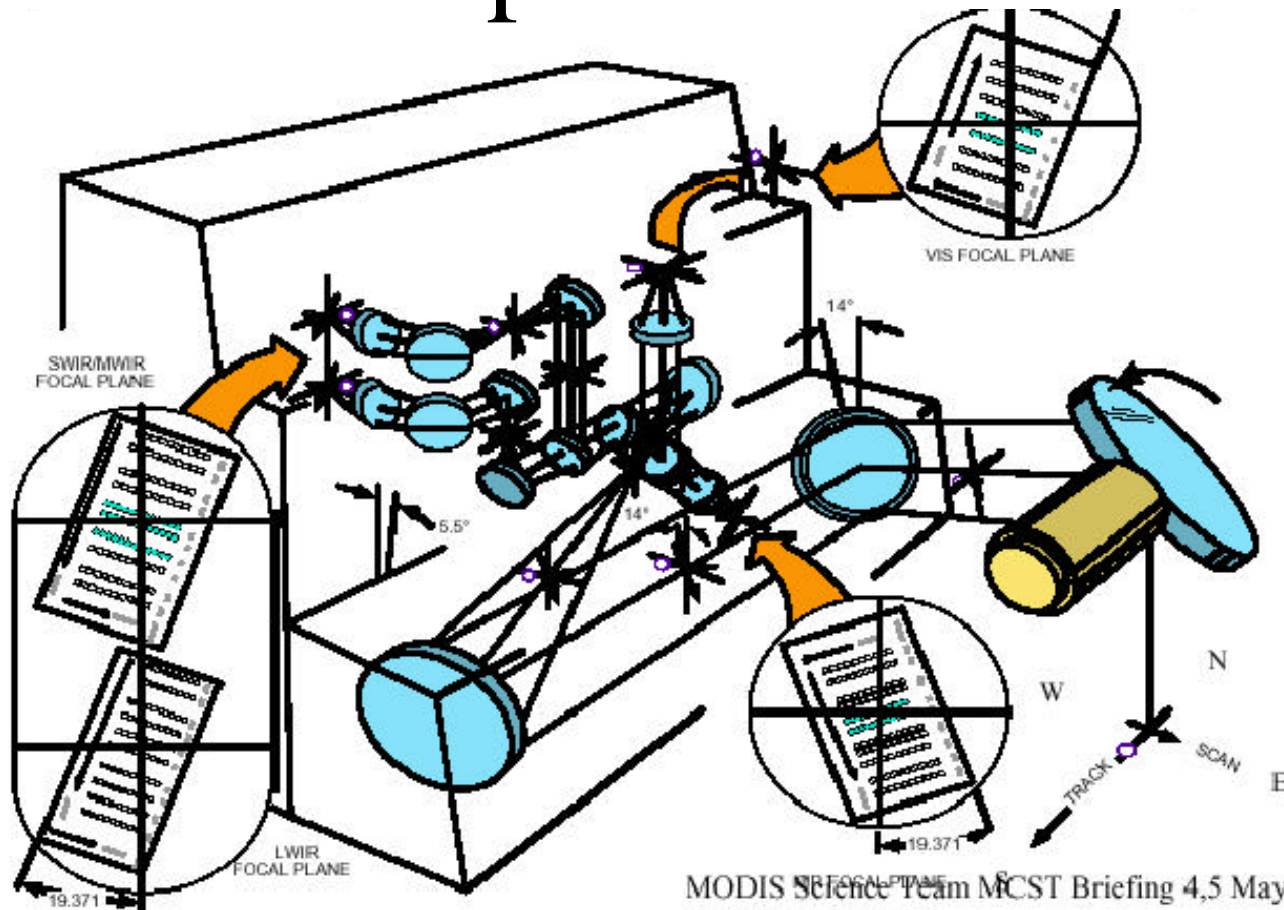
# Current MODIS Radiometric Modeling/Simulations



- Solar Diffuser with attenuation screen ray trace radiometric model
  - Model, modeled and actual results.
- New and old polarization models.
- Briefly discuss the primary mirror and surrounding structure stray light.



# MODIS Optics Schematic



- “Paddle-wheel” cross-track scanning imaging system
- Scanning Mirror points to diffuser scatter plate each scan

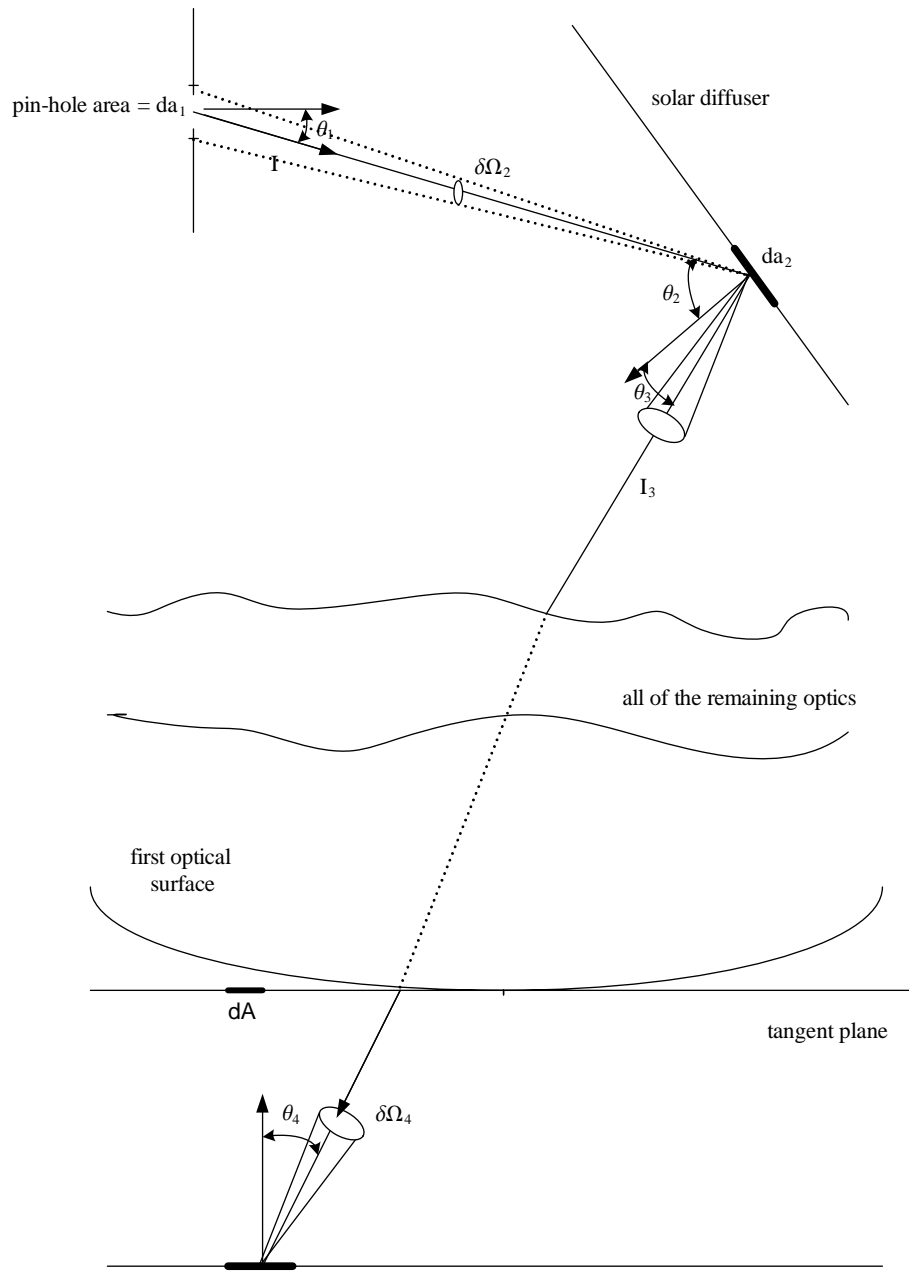


# Solar Diffuser and attenuation screen ray trace radiometric model



- During solar calibration determine visible focal plane illumination variations
  - Attenuation screen with all the pinholes
  - Solar diffuser (currently Lambertian)
  - All of the optics (scan, fold, primary, secondary, beam splitters, aft-optics)
    - currently no polarization
- Motion of the sun
- Rotation of scan mirror
- At (almost) all the detectors





# Light from pin hole to focal plane



# Computational approach



- Rays are traced through all of the optics (polarization is not considered)
- The solar diffuser is illuminated by a multitude of pin hole images of the sun.
- The Goddard FORTRAN computer code, RAYPKS, was used to perform the bulk of the raytracing (but will transition to ZEMAX?)
- The computational time was significantly shortened by starting the very dense ray fans at the visible focal plane detector elements.
- Each, very dense bundle of rays, for each detector, entirely fills, with a square grid, the aperture of the optical surface immediately above the visible focal plane.
- Approximately 7.2 million rays (for one scan mirror position and one solar angle) eventually reach the solar diffuser.
- If a ray falls within one (or more) of the, approximately 600 pin hole images of the sun (as seen by any one detector), the appropriate intensity (as describe below) is added to a detectors total.



# Compute Incident energy



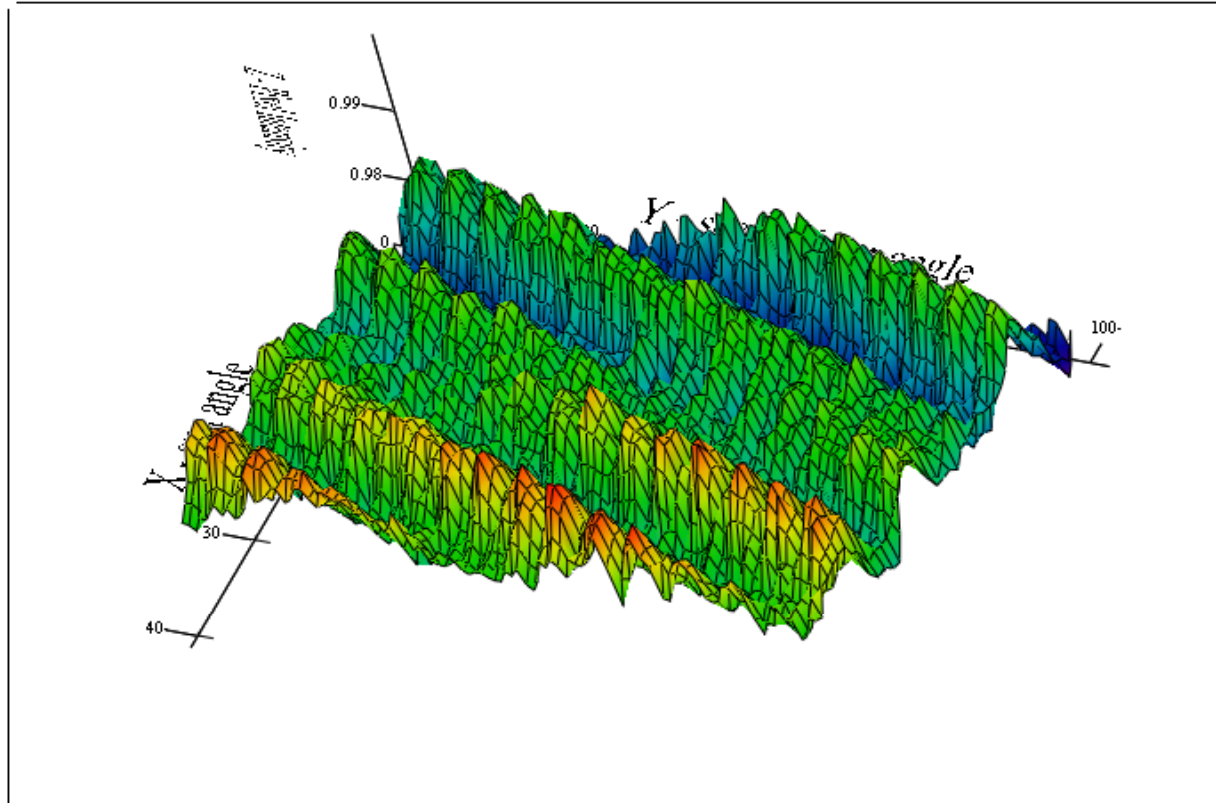
- At 70 VIS detector locations (7 bands,10 detector per band)
- For 101 scan mirror positions
- And 41 sun positions

Interpolate to *actual* mirror and sun positions taking into account the

- frame rate of  $333.3 \cdot 10^{-6}$  seconds
- mirror rotation rate of 2.956 seconds/revolutions
- Sun motion (December)



# For each of 70 detector locations we have ...



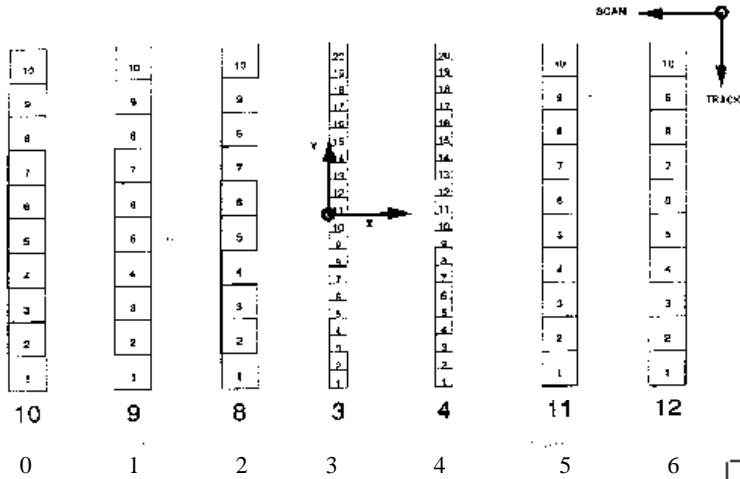
Middle Detector band 3, all computed mirror and and all computed sun angles. Total of  $41 \times 101 = 4141$  data points.



# MODIS Visible Focal Plane

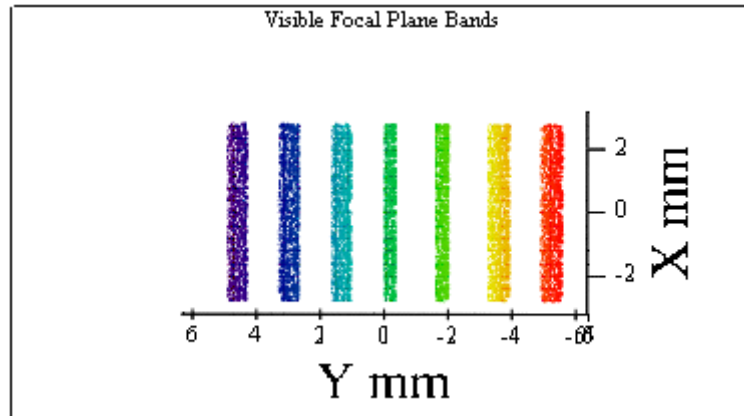


VIS.INV.109.5.2/12



Rays start at the center of the detector elements.

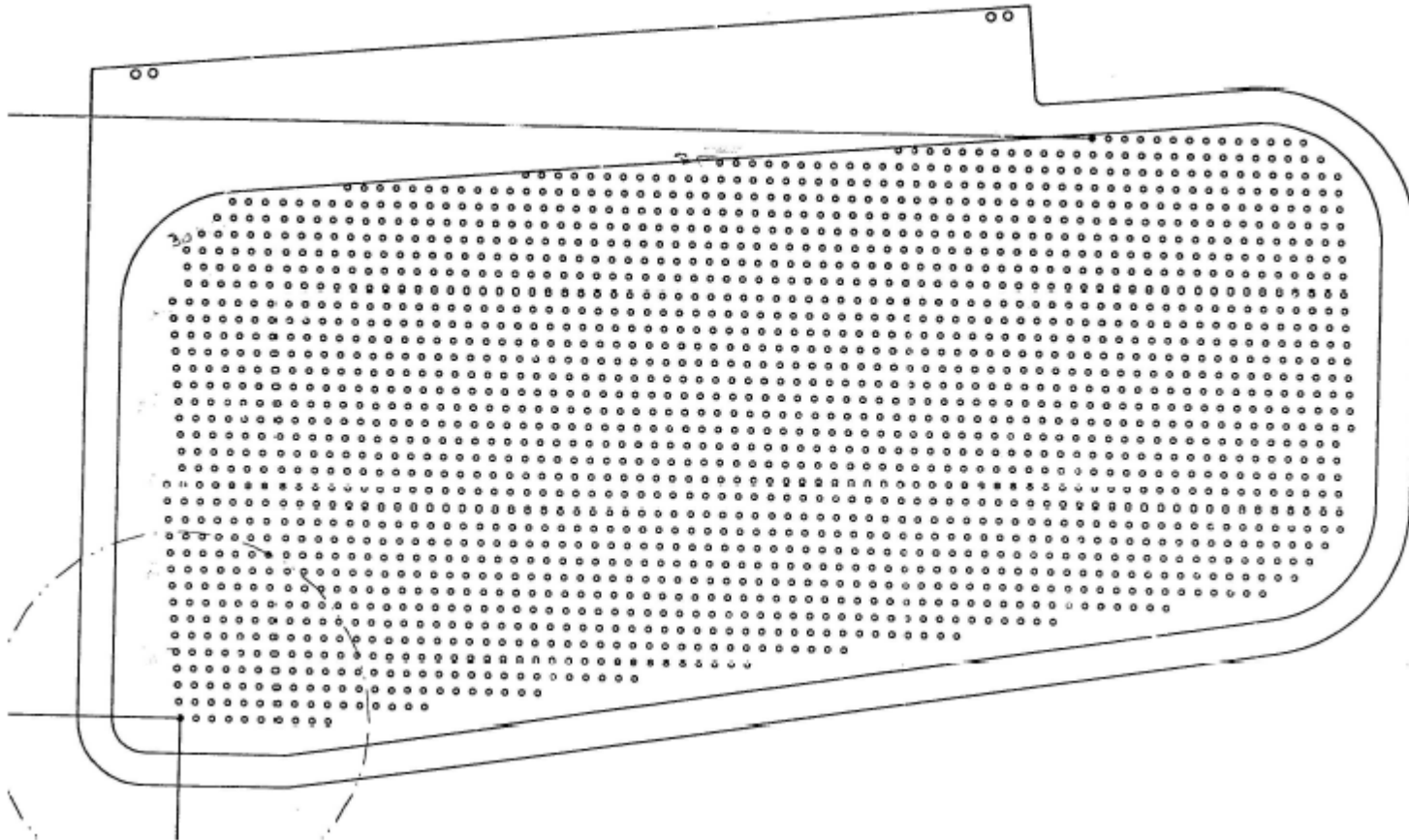
Bands 3 and 4 have 10 detectors.



(X,Y,-Y)

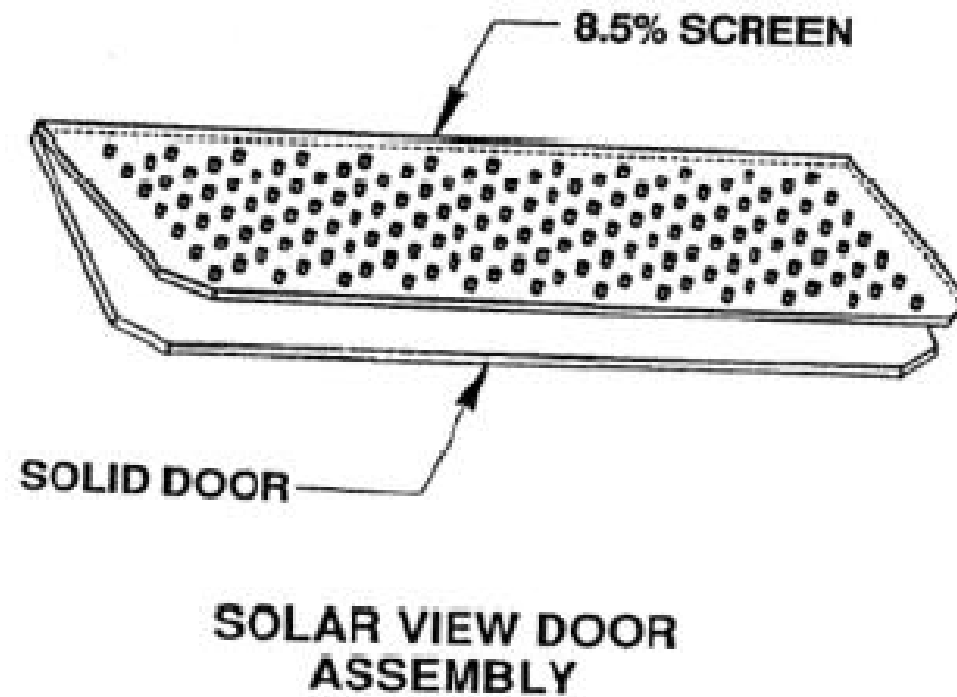


# MODIS attenuation screen





# SD Screen Design





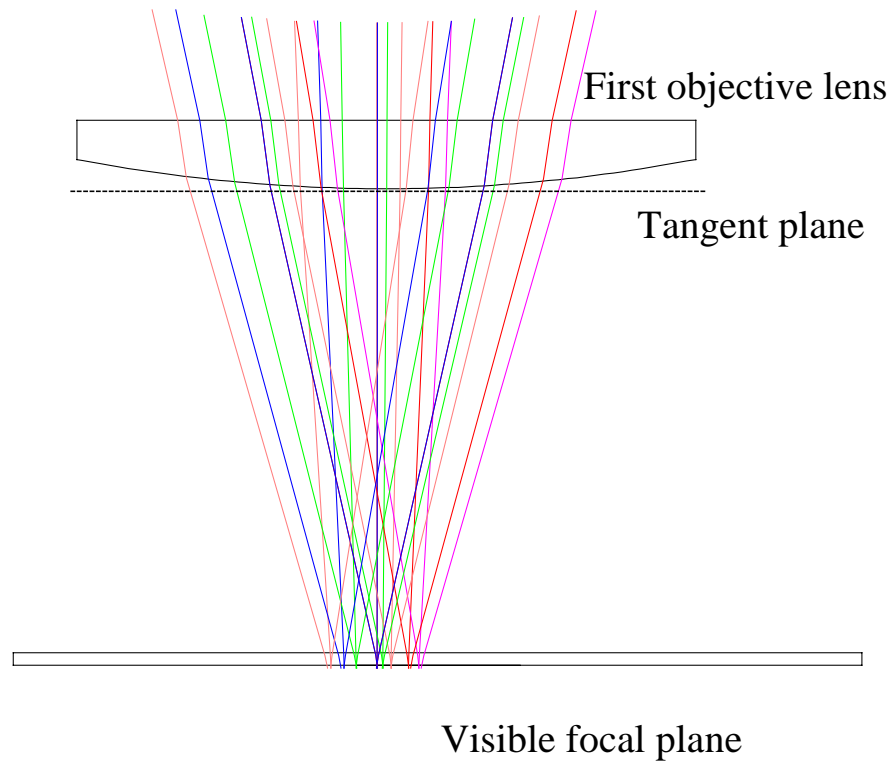
# Ray bundle starts at each detector



Center point of detector and grid points on tangent plane determine ray bundles for each detector.

7 bands

10 detectors/band





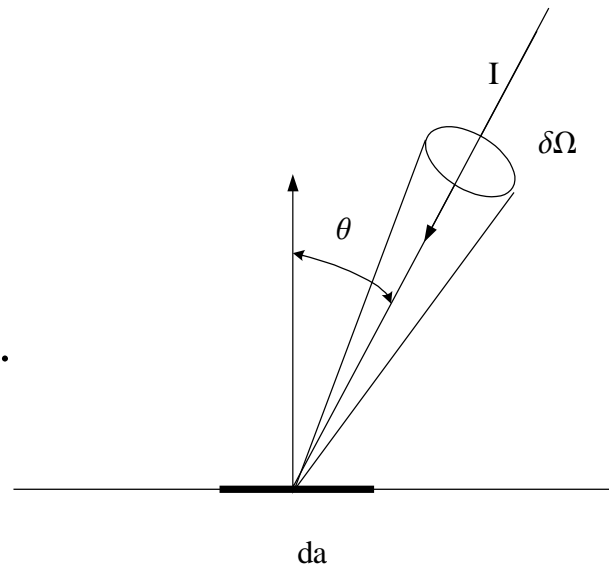


# Radiometry



Each ray represents  
a pencil of light.

$$dE = I(\theta, \phi, \lambda) \cdot \cos \theta \cdot d\Omega \cdot da \cdot d\lambda \cdot dt.$$



$$E = \int_{\Omega} \int_{\lambda} \int_t \int_a I_{\lambda}(\theta, \phi) \cdot \cos \theta \cdot d\Omega \cdot da \cdot d\lambda \cdot dt.$$



# Ray from focal plane to solar diffuser to pinhole(s)



$$dE_4 = I_4 \cdot \cos \theta_4 \cdot d\Omega_4 \cdot da_4$$

$$I_4 = (\text{transmission loss}) \cdot I_3$$

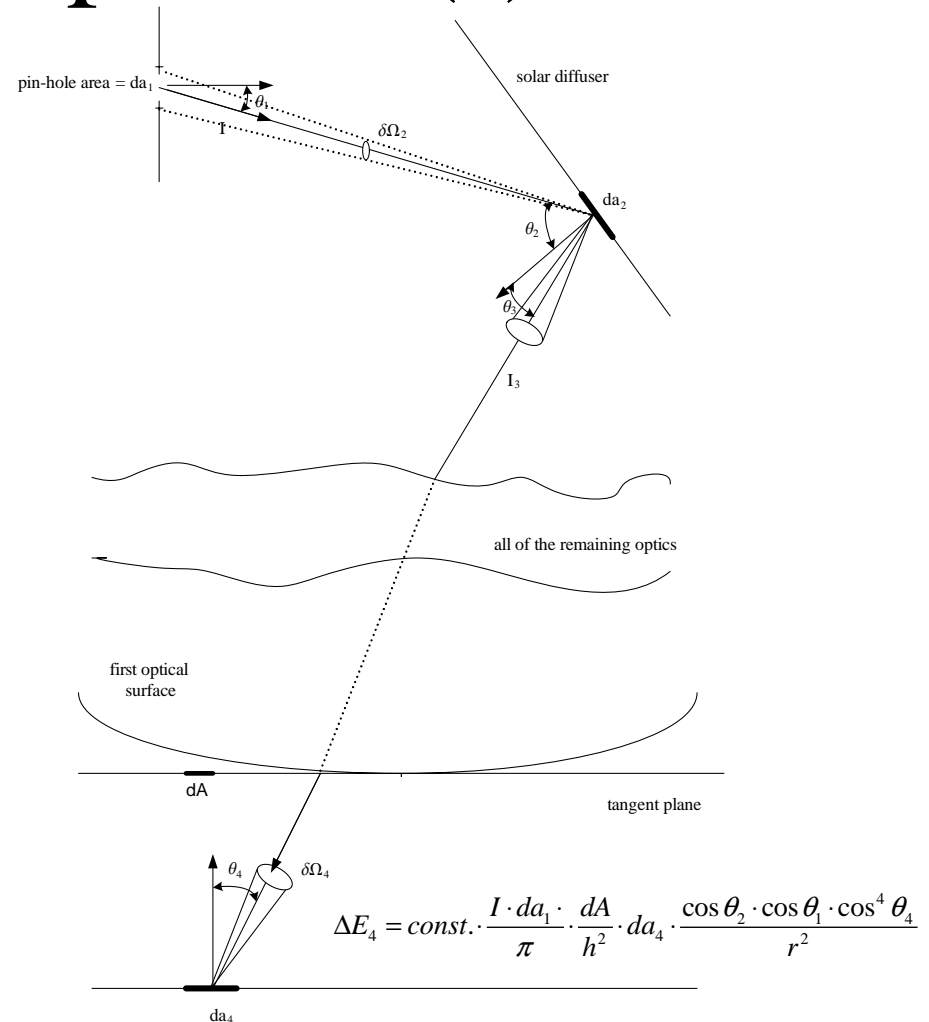
*Energy incident on solar diffuser at  $da_2$  from a pinhole*

$$dE_2 = I \cdot \cos \theta_2 \cdot d\Omega_2 \cdot da_2$$

$$d\Omega_2 = \frac{da_1 \cdot \cos \theta_1}{r^2}$$

*For Lambertian reflector*

$$I_3 = \frac{I \cdot \cos \theta_2 \cdot da_1 \cdot \cos \theta_1}{\pi \cdot r^2}$$





# Energy incident on detector



Energy deposited on a detector by a single ray

$$\Delta E_4 = (\text{transmission loss}) \cdot \frac{I \cdot \cos \theta_2 \cdot \Delta a_1 \cdot \cos \theta_1}{\pi \cdot r^2} \cdot \cos \theta_4 \cdot \Delta \Omega_4 \cdot \Delta a_4$$

$$\Delta E_4 = \text{const.} \cdot \frac{I \cdot \cos \theta_2 \cdot \Delta a_1 \cdot \cos \theta_1}{\pi \cdot r^2} \cdot \cos^4 \theta_4 \cdot \frac{\Delta A}{h^2} \cdot \Delta a_4$$

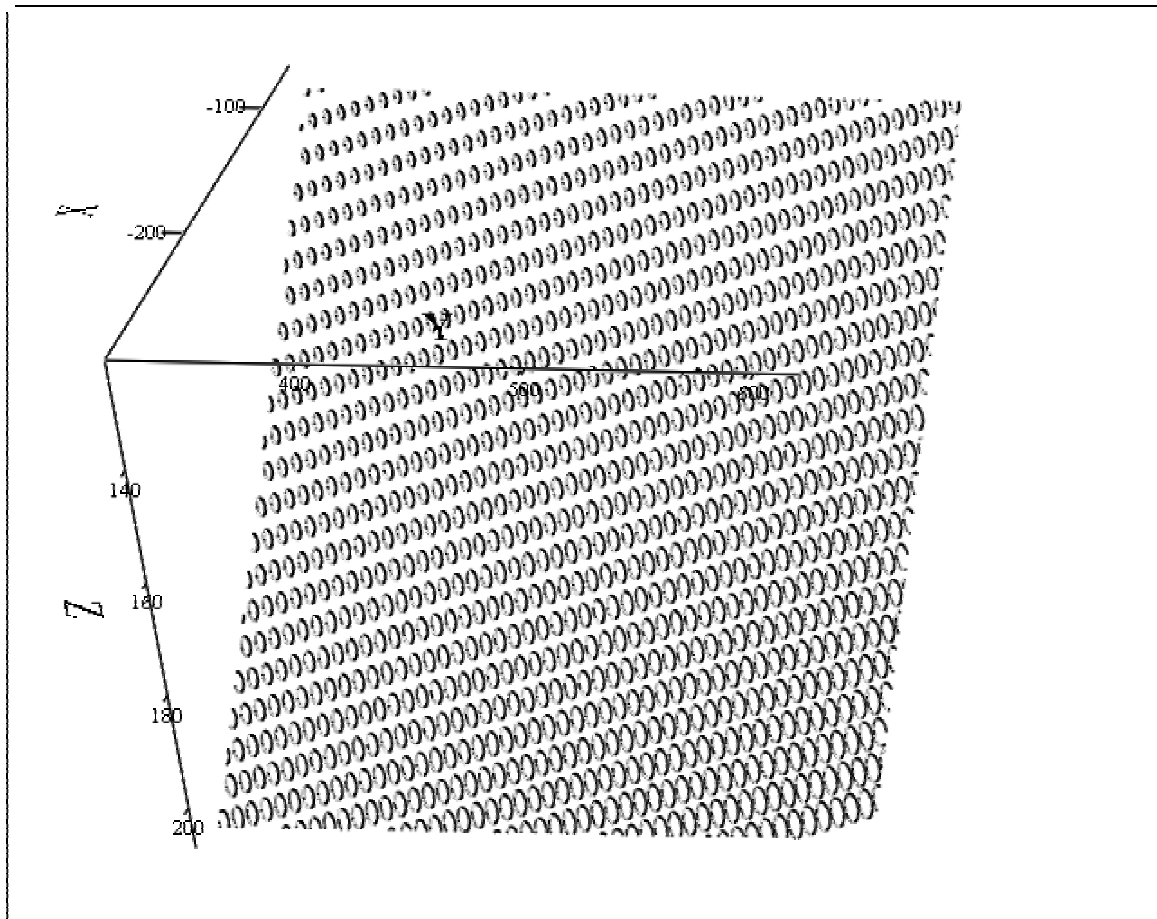
Total Energy deposited on a detector

$$E = \sum_{\text{all rays}} \Delta E_4$$

*Note: Seasonal earth to sun distance variations effect only the size of the pinhole images of the sun on the solar diffuser.*



# Attenuation screen pinholes produce pinhole images of sun on solar diffuser

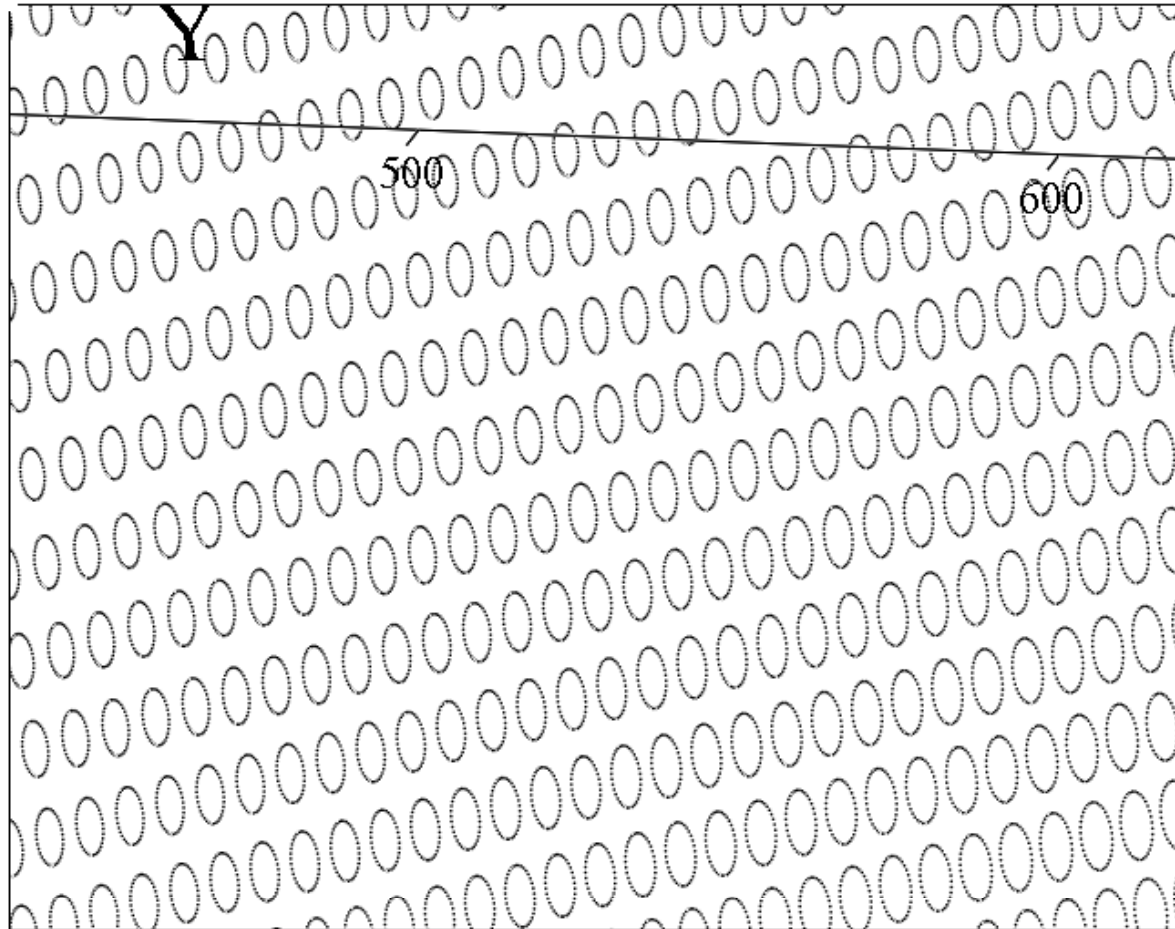


(X,Y,Z)

- Screen used for low reflectance bands
- Rays from each SD screen pin hole onto SD
- Fired in  $0.5^\circ$  cone
- Ellipse size on diffuser varies due to tilt between surfaces



# Closer view of pinhole images

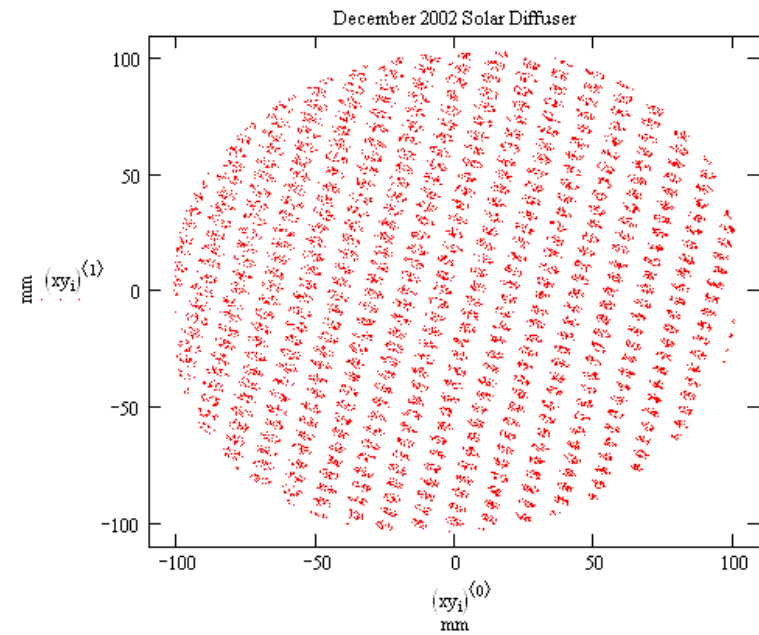
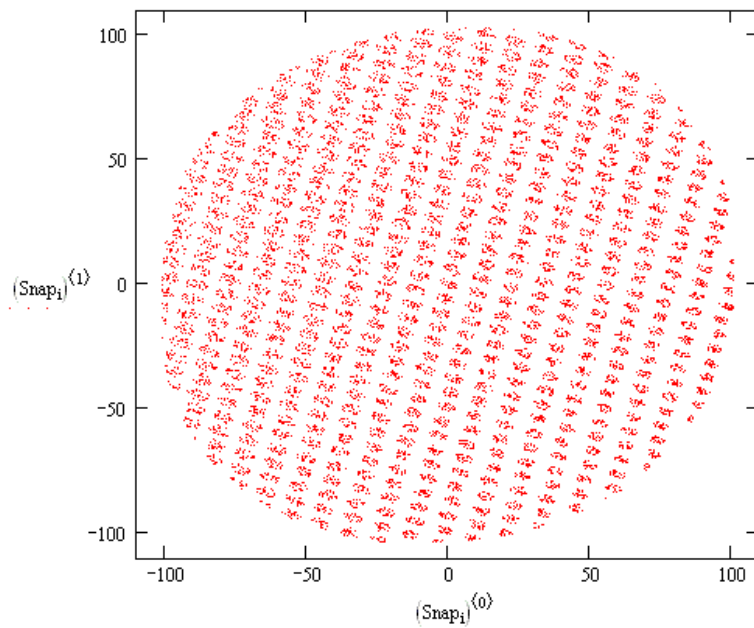




# Motion of pinhole images



i := FRAME



Motion due to sun angle changing.

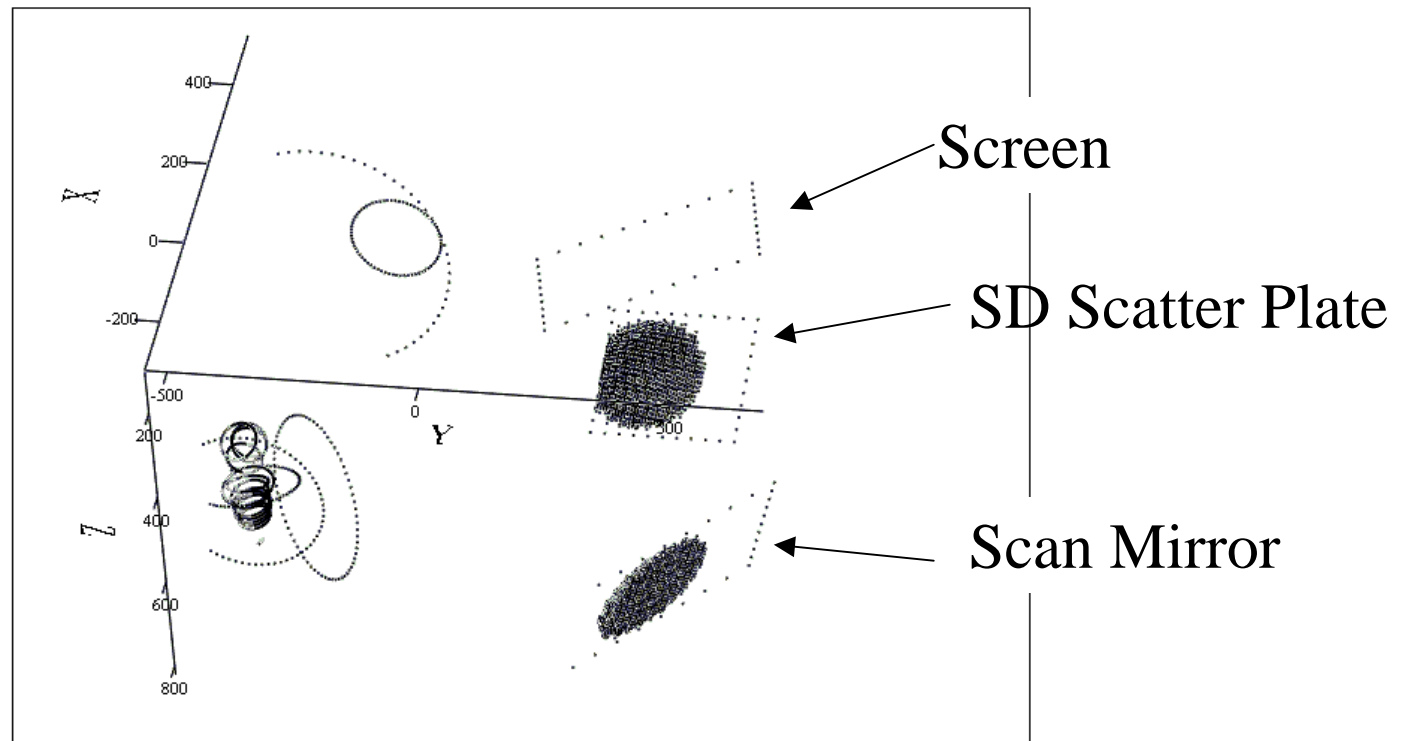


# Detector Projection onto (Moving) Scan Mirror and Solar Diffuser



fname = "CAMODIS\Focal\_plane\_to\_SD\s3\_s5\_s7\_s9\_a36"

rows(C) = 8970



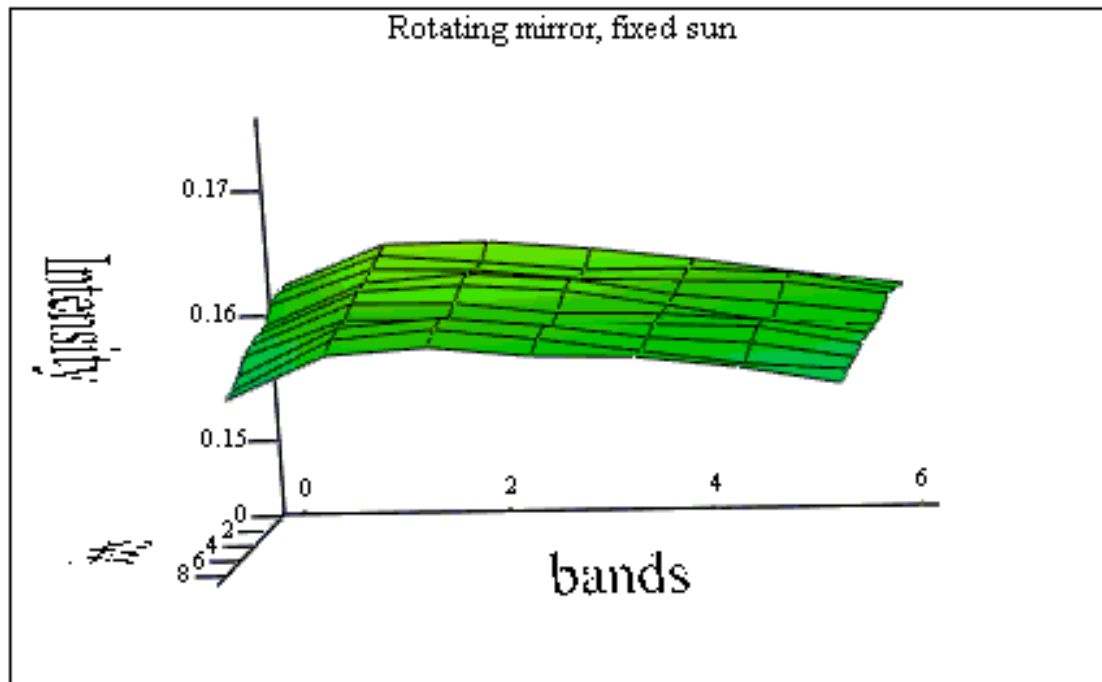
(A(1),A(2),A(3)),(C(1),C(2),C(3))



# Focal plane intensity variations moving mirror, fixed sun angle



FRAME = 0      fname\_FRAME = "C:\MODIS\s3\_to\_s2\December divide by rr and cos to the 4\Dec\_rr\_31.0"



E\_FRAME,20

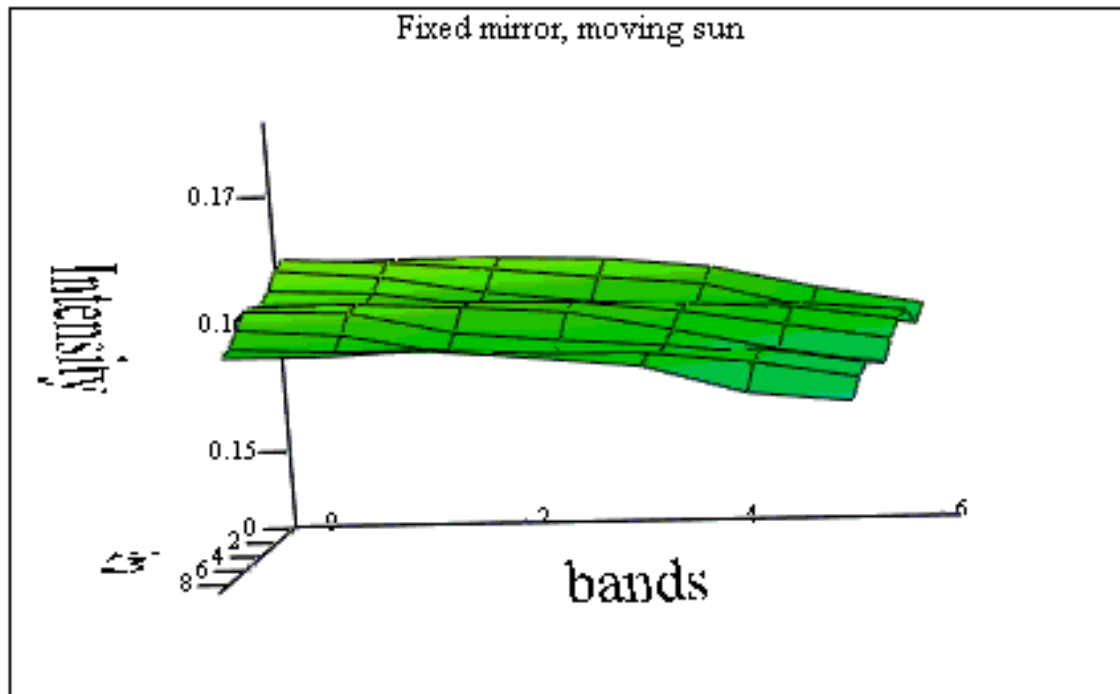




# Focal plane intensity variations fixed mirror, moving sun



FRAME = 0



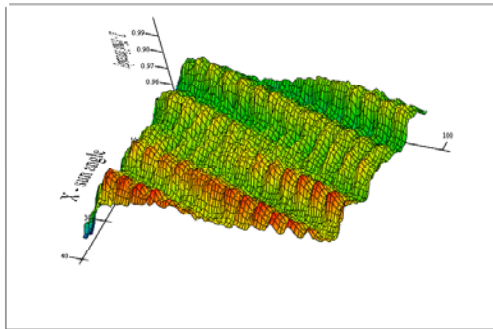
$E_{50, \text{FRAME}}$



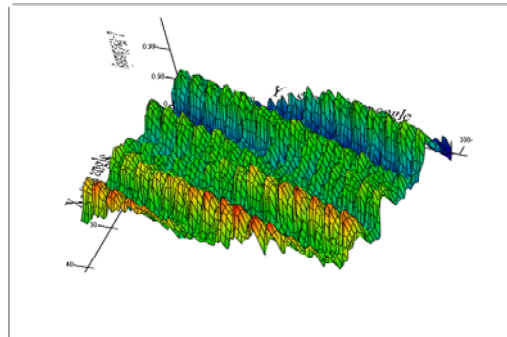
# Summary of results



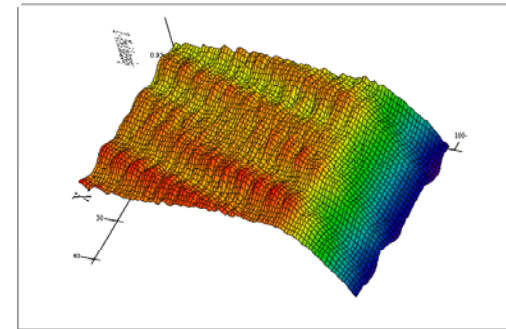
Middle Detector  
band 10



Middle Detector  
band 3



Middle Detector  
band 12



Many more - for 7 bands and 10 detector locations per band.



# Simulated (single mirror side) calibration



Coordinating the sun and scan mirror

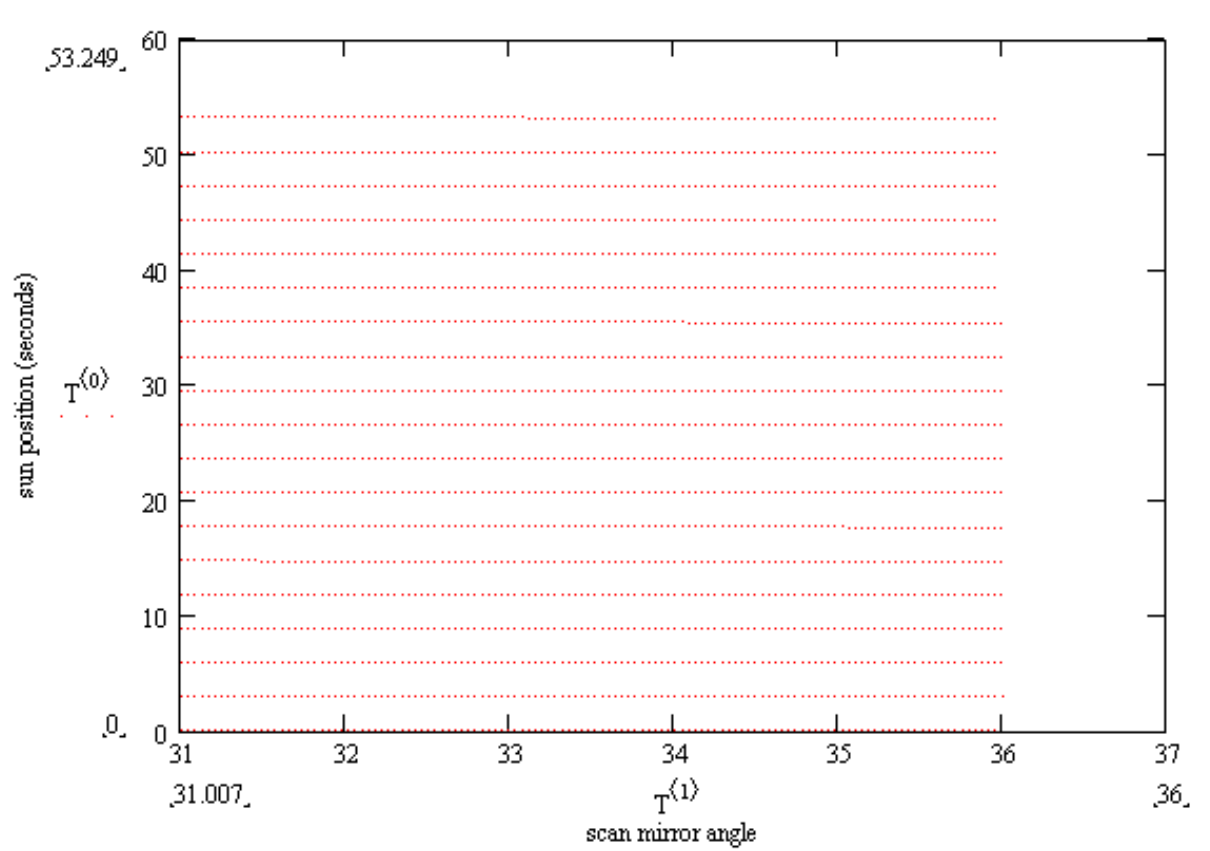
- 5 degree mirror rotation (one complete revolution in 2.956 seconds or 36 to 31 degrees in CODE-V coordinates)
- sun motion
- frame rate

Produces

- 123 frames (in 0.041 seconds)
- 19 (single sided scan lines)

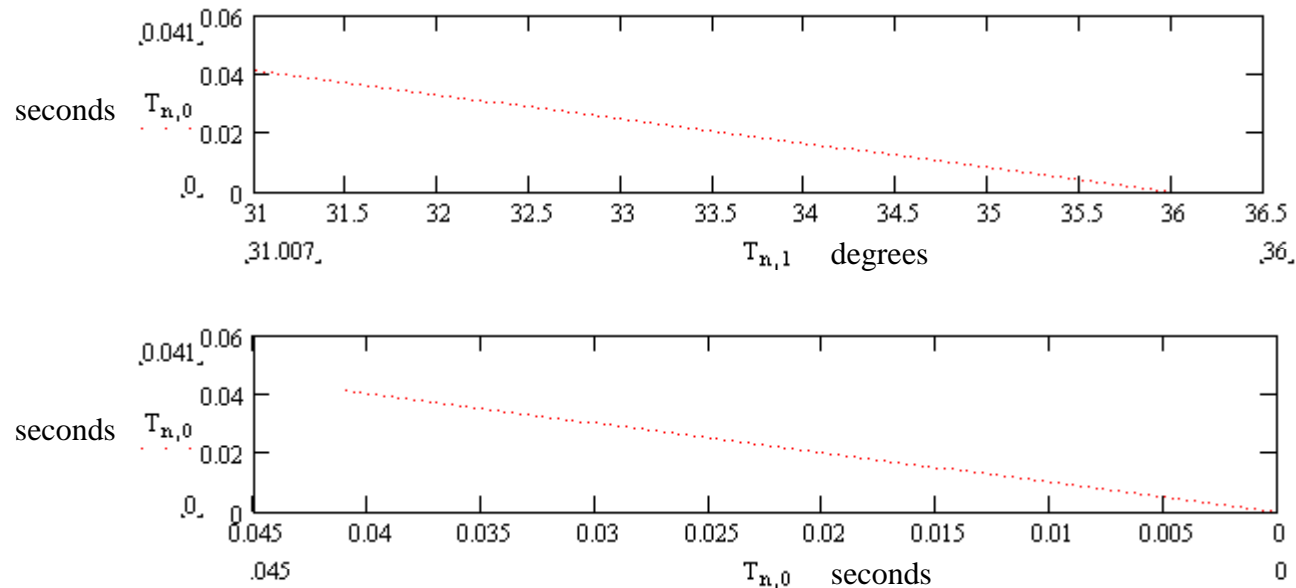


# Frames and scans





# First scan line and first 123 frames

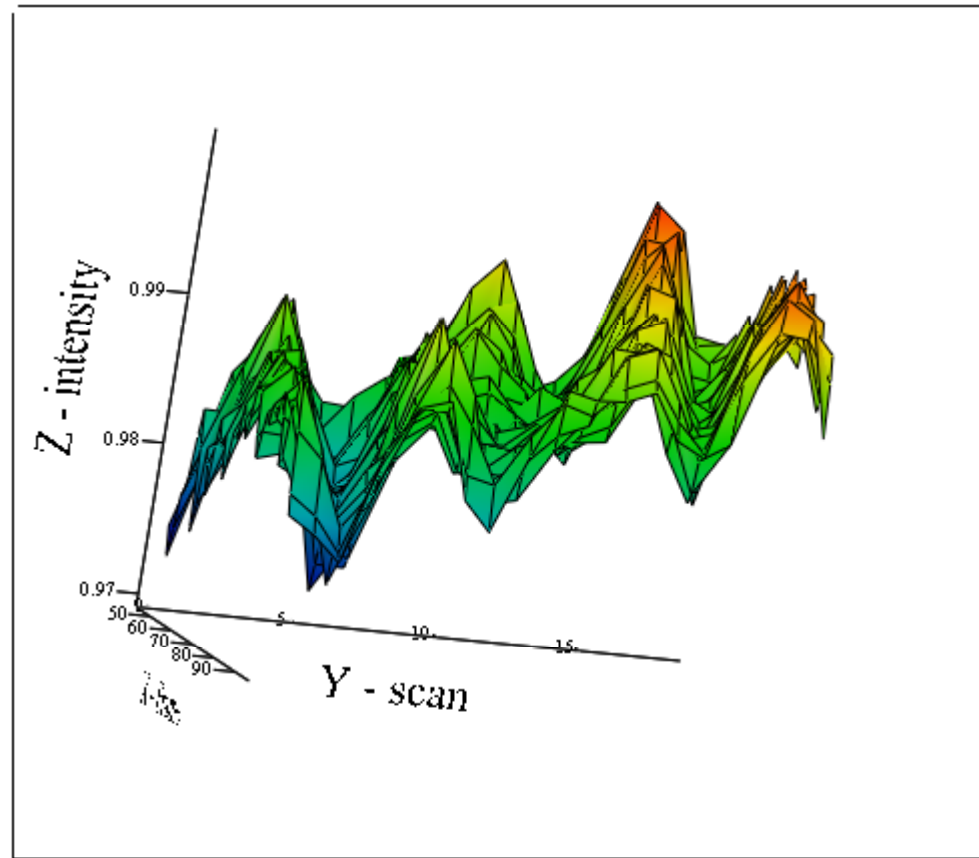


Only 50 middle frames are used in the calibration.

Need to synchronize modeled frames with actual frames and orbit.



# Simulated signal for middle Detector band 12, 19 scans, 50 middle frames



Simulated  
result.

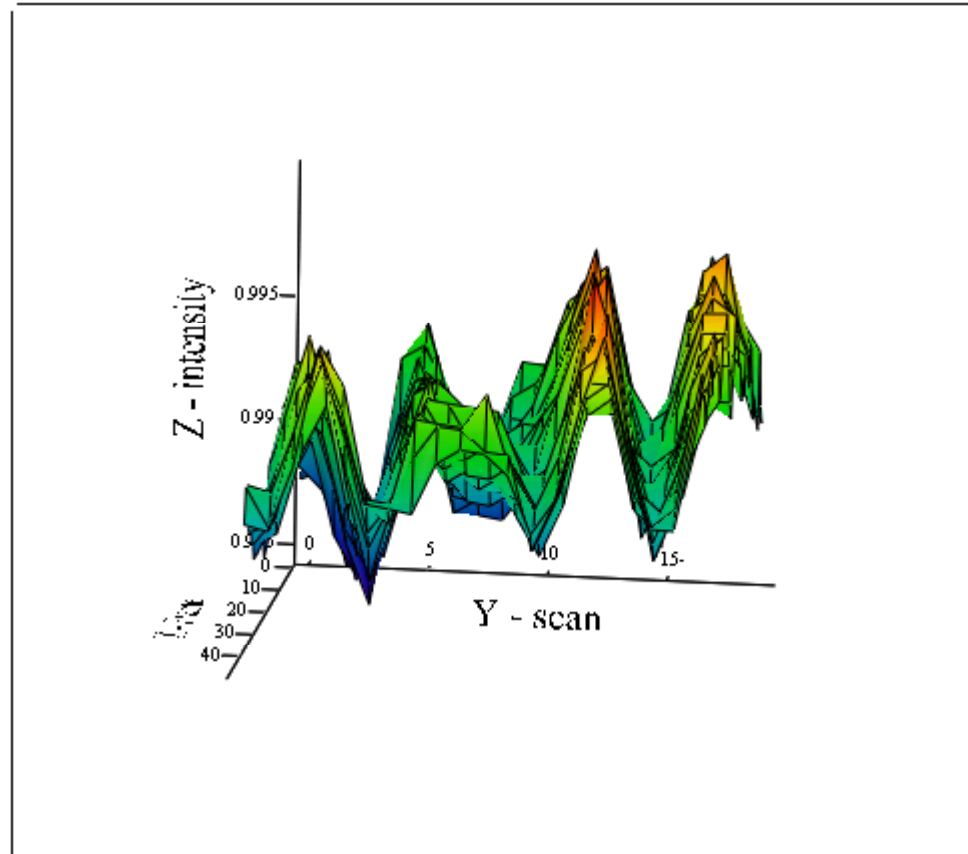
$$\frac{dm}{\max(dm)}$$



# Real data



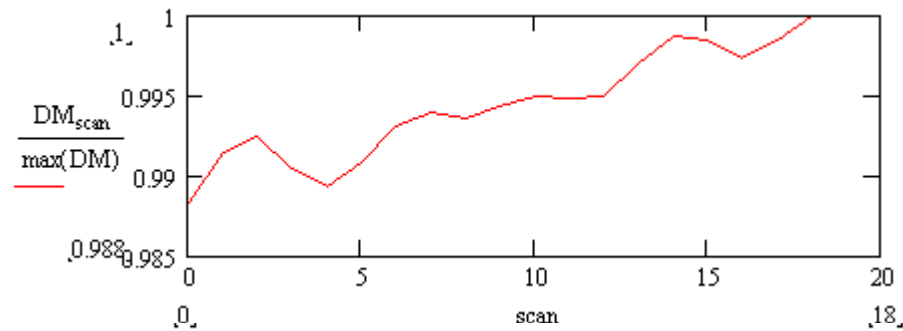
```
dmjack := READPRN("C:\MODIS\s3_to_s2\December divide by rr and cos to the 4\band12side1midpixel.txt")
```



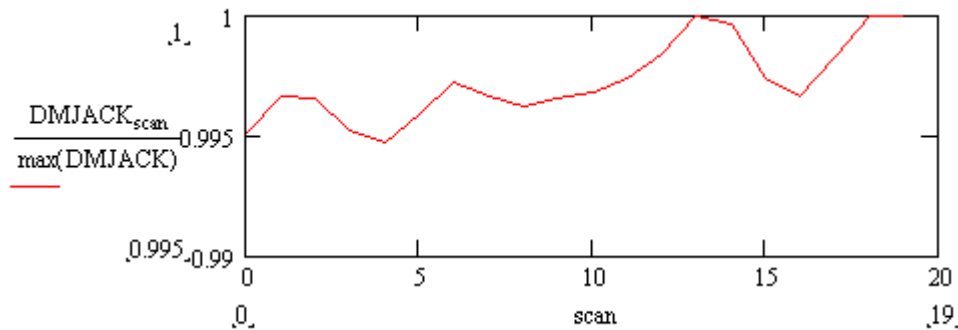
dmjack  
max(dmjack)



# Comparison of real and simulated 50 frame average data



Simulated band 12  
middle detector

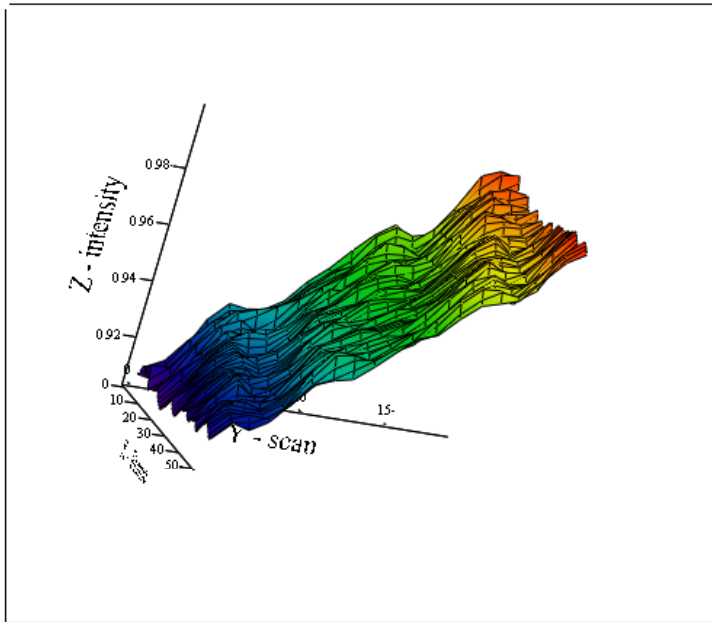


Real band 12  
middle detector

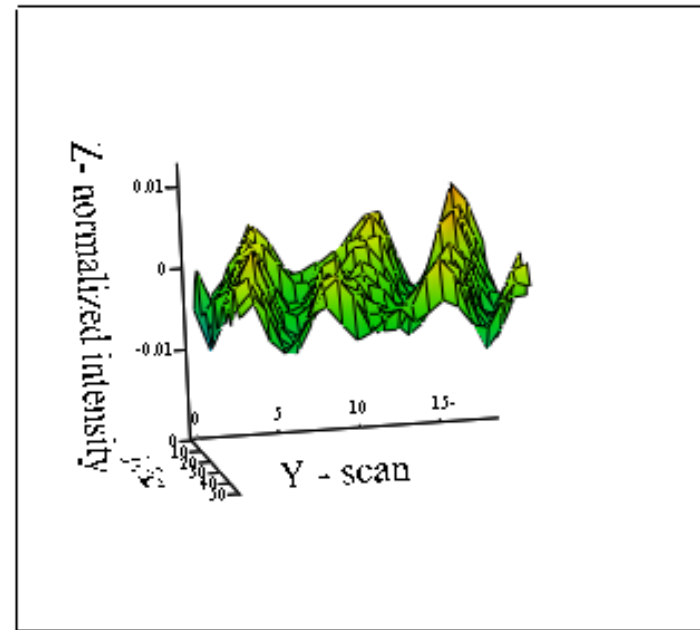




$$\Delta E_4 = \text{const.} \cdot \frac{I \cdot \cos \theta_2 \cdot \Delta a_1 \cdot \cos \theta_1}{\pi \cdot r^2} \cdot \cos^4 \theta_4 \cdot \frac{\Delta A}{h^2} \cdot \Delta a_4$$



$\frac{\text{sub\_dm}}{\text{max}(\text{sub\_dm})}$



$(x, y, \Delta z)$

Simulated band 12 middle detector, with cosine factors.



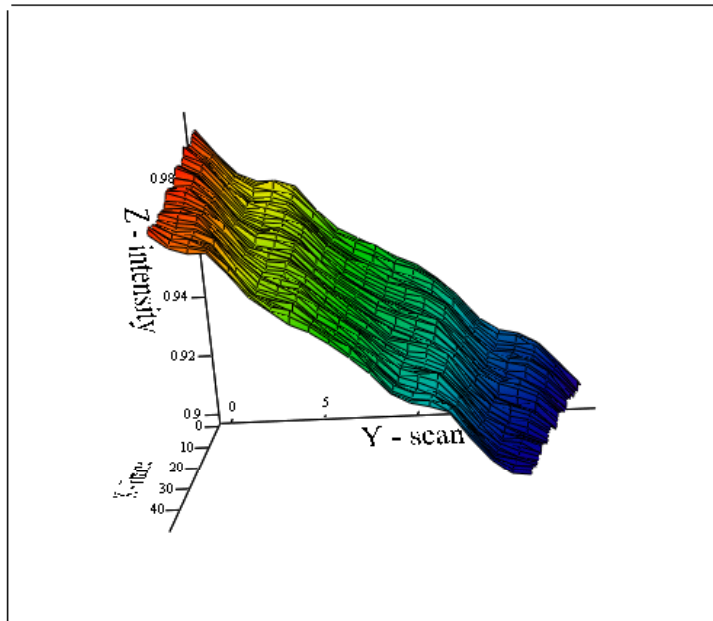
# Is it real or is it Memorx?

## Band 12 mid detector with screen



PFM2002350.2100\_dnsd\_frame\_band12\_screen\_close.txt

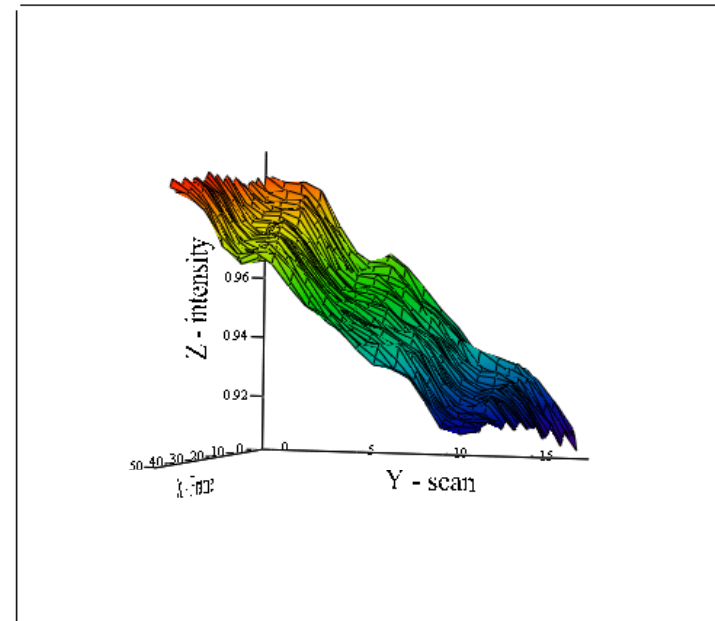
Real data



`dmjack_sc_closed`  
`max(dmjack_sc_closed)`

Dec\_rr\_cos124V.mcd

Simulated data

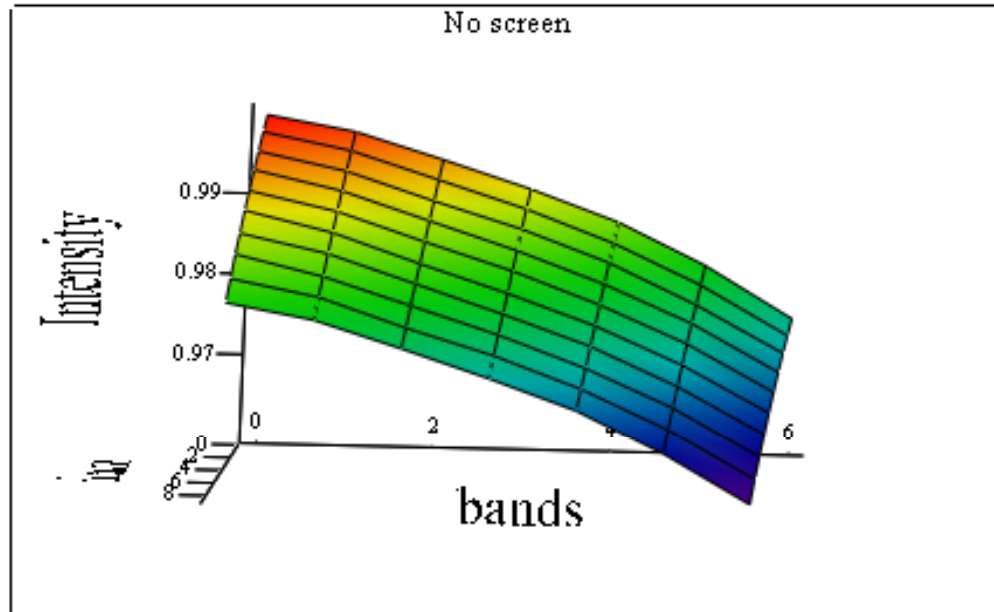


`sub_dm`  
`max(sub_dm)`

<b>Mirror_side_1_Sub_frame_1_Mid_detector_data_only</b>				
<b>Screen_close</b>				
<b>Band_12</b>	<b>Row: 50 frames data from each scan</b>			
	<b>Col: Scan number (from mirror side one only)</b>			



# No screen VIS focal plane intensity



One sun and one scan mirror position modeled result.

$$\frac{E_{50, \text{FRAME}}}{\max(E_{50, \text{FRAME}})}$$



# Solar diffuser modeling conclusion



- Attenuation screen simulations and measurements headed for convergence
- May incorporate simulations directly into derived calibration coefficients
- *Historical note:*
  - *CONCLUSION from 2001 SPIE presentation was:*
  - *Speed up the computer runs!*



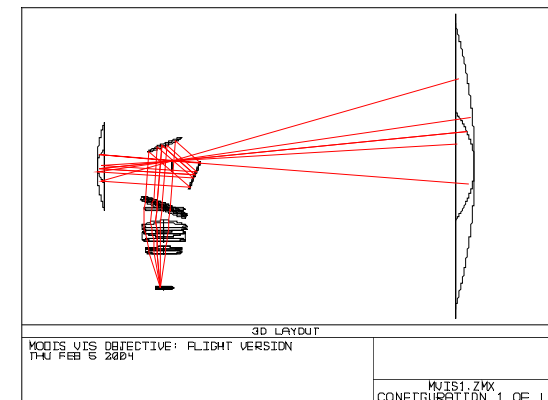
# Polarization Modeling



The products of this effort will be used in a Polarization Ray Trace (PRT) model, that will be used to assist in the diagnosis and understanding of anomalous behavior in the MODIS TERRA instrument. Special attention shall be given to the spectral variations characteristic of the beam splitters and the band pass filters to ensure that they be accurately modeled, as it is suspected that these variations might contribute to or be responsible for the polarization sensitivity of MODIS and its temporal variation.

Assemble measured  $R(\lambda)$  &  $T(\lambda)$  polarization-component data for

- scan mirrors,
  - VIS /NIR beamsplitters, and
  - (unpolarized data on) Band Pass Filters (BPF)
  - Visible path
  - NIR path
- Generate thin-film multi-layer coating designs for each component using realistic thin-film  $n$  &  $k$  material dispersion data.
  - The goal is to reproduce the measured  $T$  &  $R$  values with sufficient fidelity and spectral resolution to permit the performance of the TERRA optical system to be accurately modeled.





# Participants



PELLICORI OPTICAL CONSULTING

University of Miami (Ken Voss, Nordine Souaidia)

Goddard (Gerhard Meister)

SSAI (David Moyer)



# Analysis of Aqua MODIS Prelaunch Polarization Measurements



January 15, 2004

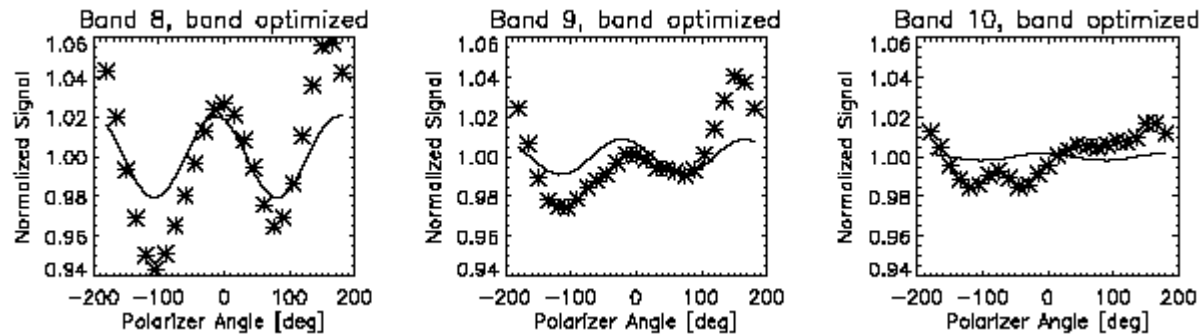
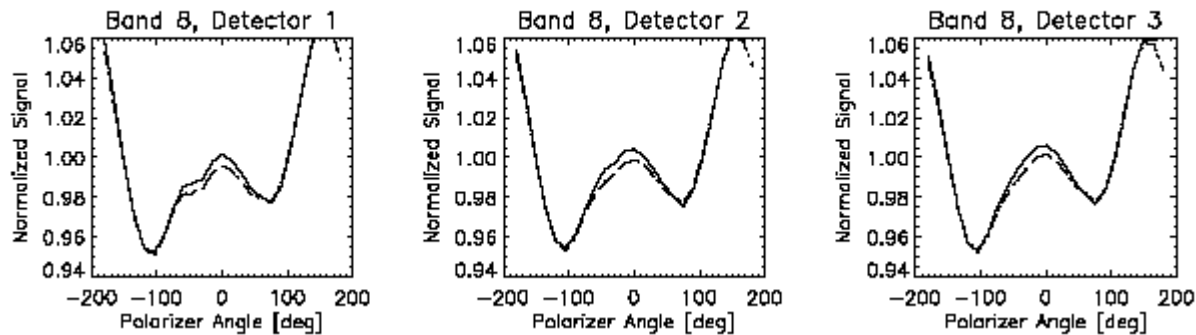


Figure 1: Polarization at a viewing angle of  $-45^\circ$ , corresponding to an incidence angle on the scan mirror of  $15.5^\circ$ . The stars show the band optimized prelaunch measurements, the solid line shows the two-cycle component.





## Historical Note

### First MODIS Polarization Ray Trace Model (Perkin-Elmer circa 1990)



75											
0 0 0	-300.0	1300.0	10.10101	!3,762.4,763,763.6,	1.0000	3-air-gxbs1rx	thf4mtl	97.35		bk7	5-bk7-air
48	405.5000	413.0000	420.5000		thf4mtl	1536.57	znsmtl	68.75		1.0	air-birsrx
	438.0000	443.0000	448.0000		znsmtl	23.91	thf4mtl	122.10		1.0000	
	460.0000	470.0000	480.0000		thf4mtl	79.77	znsmtl	76.87		1.5000	264.58
	485.0000	490.0000	495.0000		znsmtl	47.82	thf4mtl	122.10		1.5200	260.12
	515.0000	520.0000	525.0000		thf4mtl	79.77	znsmtl	76.87		1.5500	253.75
	545.0000	555.0000	565.0000		thf4mtl	47.82	thf4mtl	122.10		1.5800	247.71
	560.0000	565.0000	570.0000		znsmtl	79.77	znsmtl	76.87		1.6400	236.53
	645.5000	653.0000	660.5000		thf4mtl	47.82	thf4mtl	122.10		1.7000	226.40
	655.0000	665.0000	675.0000		znsmtl	79.77	znsmtl	76.87		1.7800	214.26
	676.0000	681.0000	686.0000		thf4mtl	47.82	thf4mtl	122.10		1.8800	200.92
	745.0000	750.0000	755.0000		znsmtl	79.77	znsmtl	76.87		2.0200	184.97
	857.5000	865.0000	872.5000		thf4mtl	47.82	thf4mtl	122.10		2.1300	174.20
	870.0000	880.0000	890.0000		znsmtl	79.77	znsmtl	76.87		2.2200	166.32
	890.5000	908.0000	925.5000		thf4mtl	47.82	thf4mtl	122.10		2.2800	161.47
	931.0000	936.0000	941.0000		znsmtl	79.77	znsmtl	76.87		2.3200	158.40
	940.0000	950.0000	960.0000		thf4mtl	47.82	thf4mtl	122.10		2.3600	155.45
0 2 0 0 2 2 3 099 4 59999					znsmtl	79.77	znsmtl	38.44		2.3800	154.01
					thf4mtl	54.22	znsemtl	44.90		2.4000	152.61
					znsmtl	97.35	thf4mtl	157.77		2.4300	
1.0			1-air-air		thf4mtl	60.63	znsemtl	89.80			
1.0					znsmtl	97.35	thf4mtl	157.77			
1.0			2-air-scanrx		thf4mtl	60.63	znsemtl	89.80			
thf4mtl	160.67				znsmtl	97.35	thf4mtl	157.77			
znsmtl	53.19				thf4mtl	60.63	znsemtl	89.80			
thf4mtl	80.33				znsmtl	97.35	thf4mtl	157.77			
znsmtl	53.19				thf4mtl	60.63	znsemtl	89.80			
thf4mtl	68.38				znsmtl	97.35	thf4mtl	157.77			
AGmtl	151.18				thf4mtl	60.63	znsemtl	89.80			
1.850	1.5		yttrium		znsmtl	97.35	thf4mtl	157.77			
1.52			sub		thf4mtl	60.63	znsemtl	89.80			
					thf4mtl	97.53	thf4mtl	157.77			
					znsmtl	60.63	znsemtl	44.90			
							2.4300				
							1.0		4-air-bk7		
							bk7				





## Coating Prescriptions



### **A strongly worded suggestion:**

Must have full knowledge of as built coating prescriptions on all of the optical elements.

This means the

$$n(\lambda) + ik(\lambda)$$

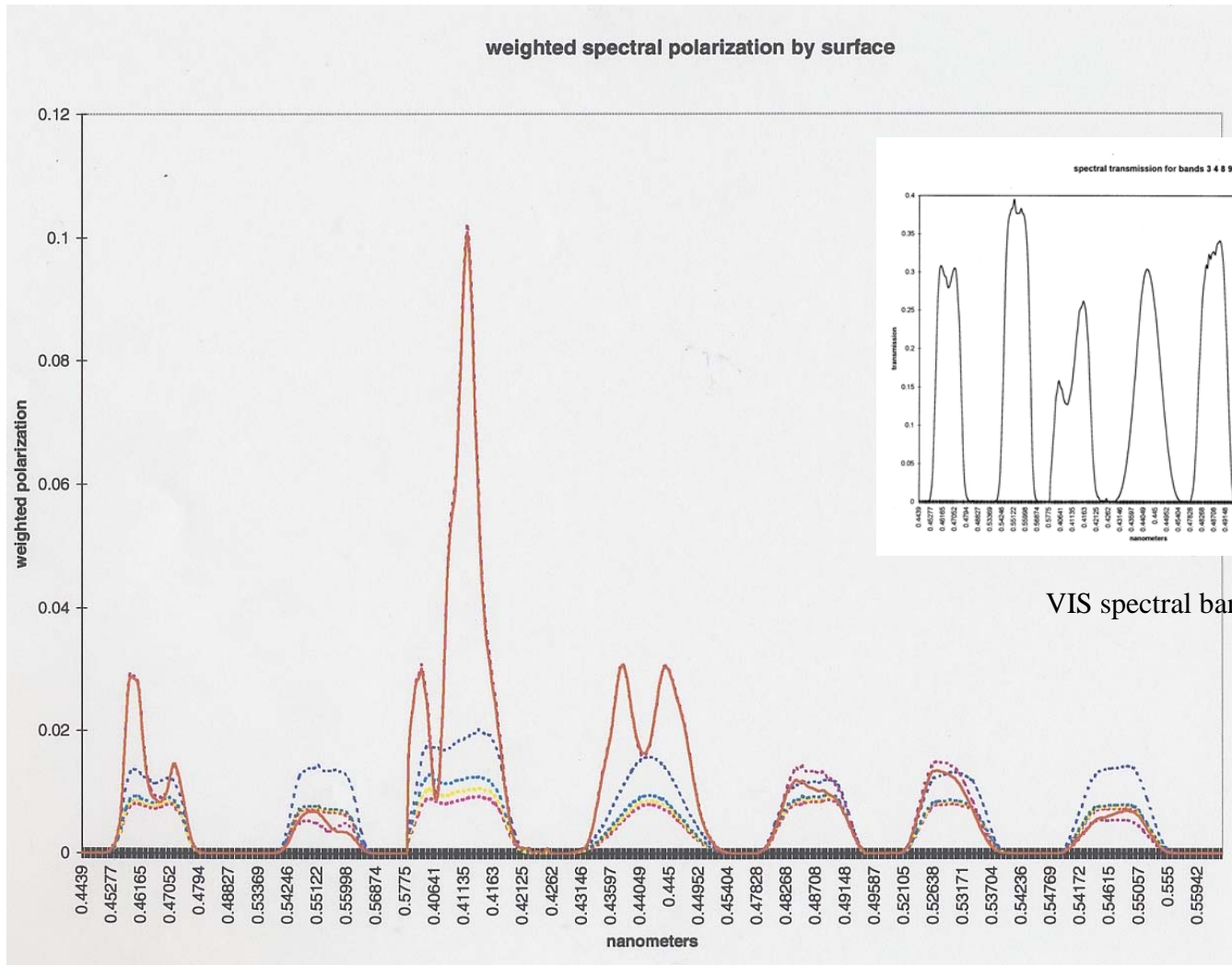
for each layer in each coating.

Vendors will supply with NDA's.



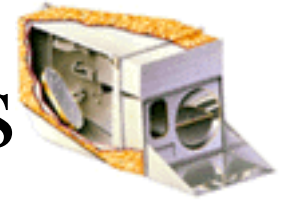
# Goddard Polarization Modeling

circa 1997



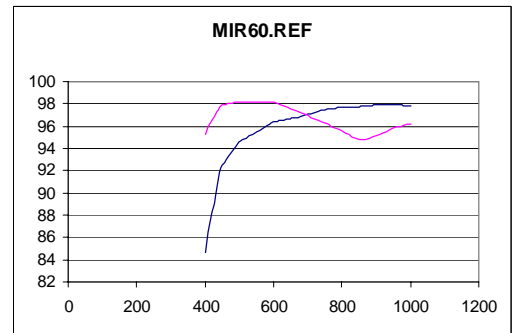
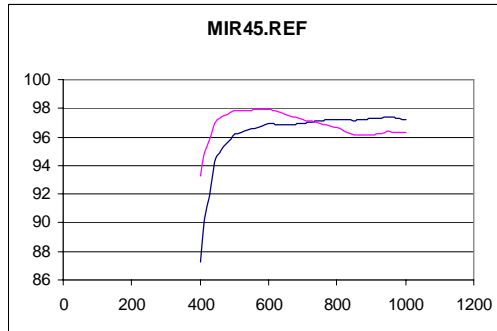
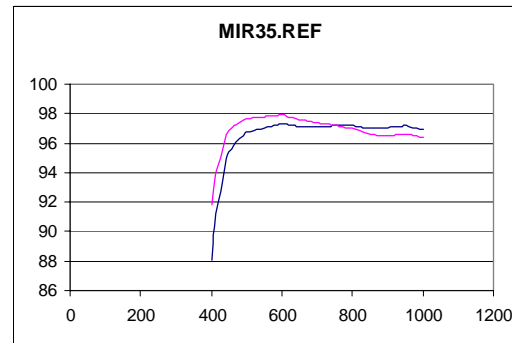
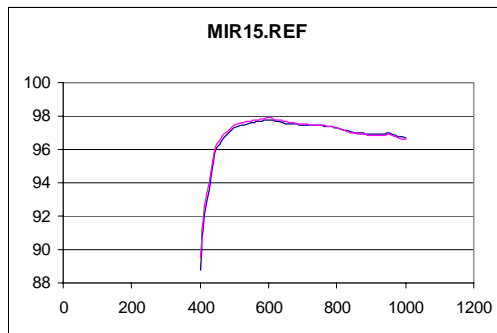


# Measured Scan mirror values



Used measured surface reflectances or transmittances at one incidence angle

Table 1: Surfaces which effect the transmission and polarization in the visible light path. Surfaces without a name are dummy surfaces. Surface 23 represents all seven focal plane filters.



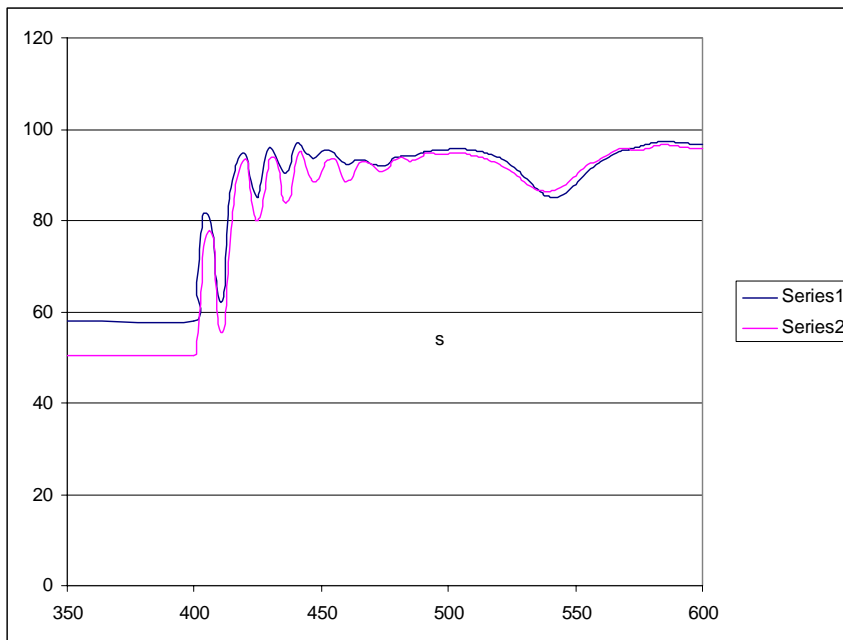
Surface #	Surface Incidence	Angle of (degrees)
1		0.0
2	Scan	45,60
3		0.0
4	Fold	45.0
5		0.0
6		0.0
7	Primary	9.22
8		1.985
9	Secondary	9.22
10		0.0
11		0.0
12	Dichroic 1	22.5
13	Dichroic 2	22.5
14		22.5
15		15.2
16		0.0
17	E1	2.83E-3
18	E1	2.68E-3
19	E2	5.41E-3
20	E2	1.50E-3
21	E3	2.10E-3
22	E3	2.21E-3
23	Filters	2.45E-3



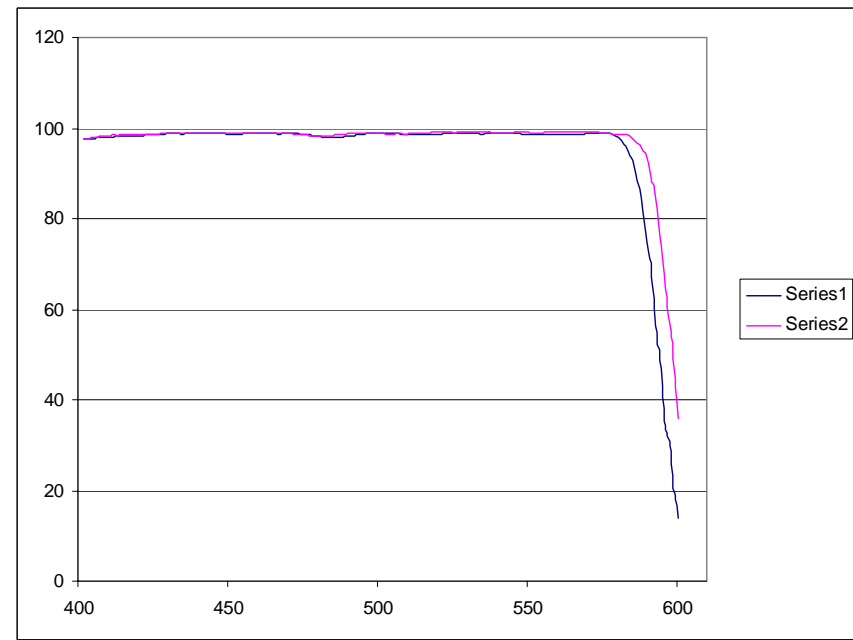
# Dirchroic S and P reflectivities



## DICH1\_PFM\_REFL



## DICH2\_PFM\_REFL



<<<<<< Attached TEXT file named "DICH1.PFM.REFL" follows >>>>>>

DICHROIC NOTINV "OFC Dich: 400-700 nm Late SBRS Measurements, SN7: 12/96,  
700-1.2um Early Measurements, PL3095-Q06183, SN6"

wavelength "vertical, p" "horizontal, s"

350 58.04 50.3

400 58.04 50.3

<<<<<< Attached TEXT file named "DICH2.PFM.REFL" follows >>>>>>

DICHROIC NOTINV BS 2 S/N 4-1 measured at 22.5 deg AOI on 7/26/93

WAVELENGTH (nm) P REFLECT (%) S REFLECT (%)

401.81 97.57 97.61

402.81 97.63 97.69

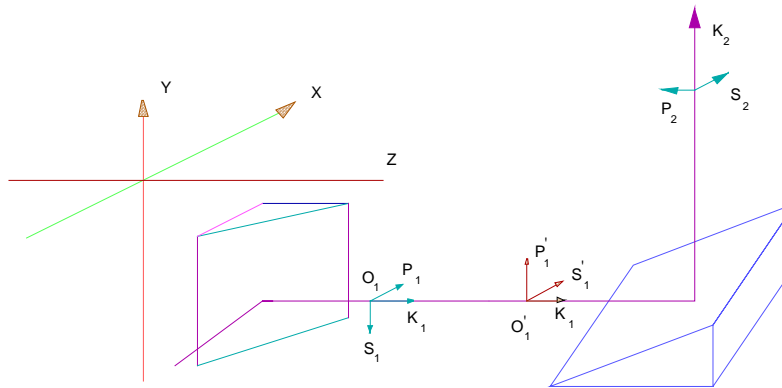
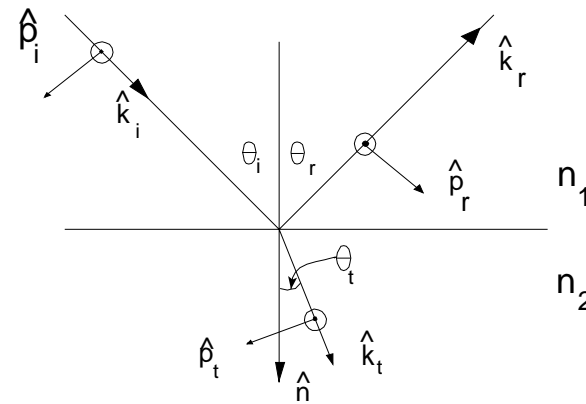
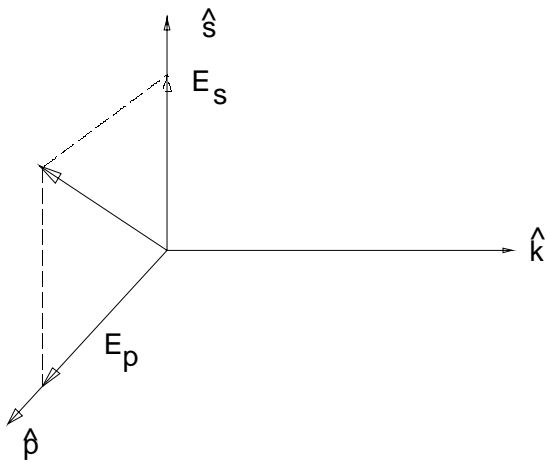


# Polarization Ray Trace

tutorial covered very quickly

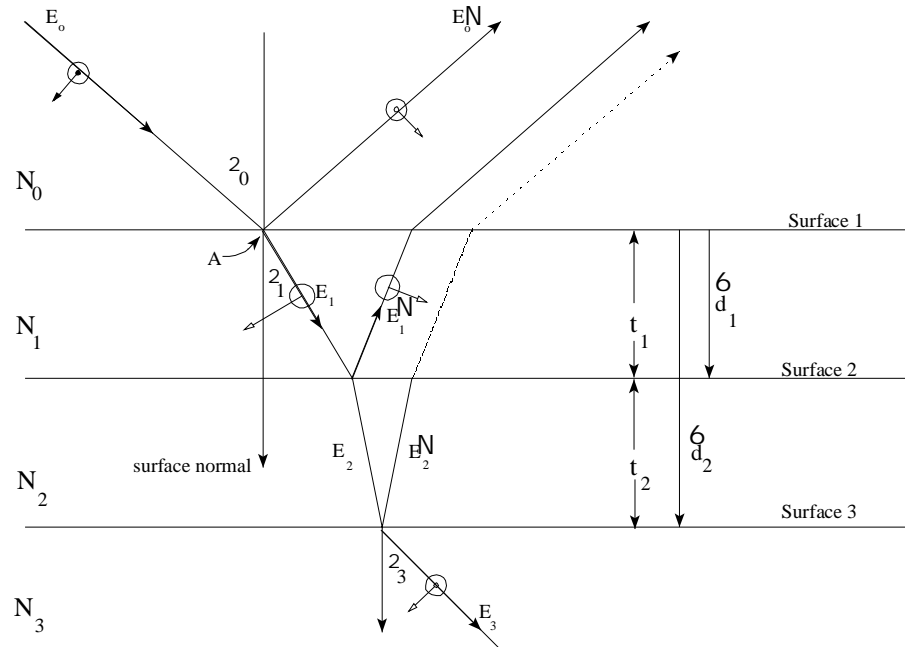


Jones vector  $\vec{E} = \begin{pmatrix} E_s \\ E_p \end{pmatrix}$





# Film Stack



At each interface, within a film stack, the general form of the equations expressing continuity of the tangential components of the E and H fields is similar to the equations at the first interface.

$$(\vec{E}_1 + \vec{E}'_1) \times \hat{n} = (\vec{E}_2 + \vec{E}'_2) \times \hat{n}$$

$$(\vec{H}_1 + \vec{H}'_1) \times \hat{n} = (\vec{H}_2 + \vec{H}'_2) \times \hat{n}$$

$$\begin{pmatrix} \cos\left(\frac{2\pi}{\lambda} Nt \cos\theta\right) & -\frac{i}{\zeta} \sin\left(\frac{2\pi}{\lambda} Nt \cos\theta\right) \\ -i\zeta \sin\left(\frac{2\pi}{\lambda} Nt \cos\theta\right) & \cos\left(\frac{2\pi}{\lambda} Nt \cos\theta\right) \end{pmatrix}$$



# Polarization ray trace



Associated with each ray is either a Jones or Stokes vector which is transformed by a series of matrix multiplications.

$$E_{m+1}(\theta) = S_m R_m S_{m-1} R_{m-1} \cdots S_2 R_2 S_1 R_1 E_1(\theta)$$

For any optical system with no birefringence

$$I(\theta) \sim |E(\theta)|^2 \sim \text{const.} + \cos^2(\theta + \varepsilon)$$

$$I(\theta) = \sum_{m=1}^{\text{rays}} I_m(\theta)$$

```
S  0.0 5.0 SILICA_SPECIAL
S  82.6262 18.6324755899 SAPHIR_SPECIAL
S  -87.0204 7.5 SF11_SCHOTT
S  0.0 8.41706783452 SILICA_SPECIAL
S  0.0 1.5 BK7_SCHOTT
```



# Stray Light around primary



The picture below shows three things.

A big circle which is the clear aperture of the primary.

A small circle which is the clear aperture of the stop. In the ray trace the stop is in the tangent plane of the primary. In actual hardware the stop would be clear aperture (the reflecting portion) of the primary mirror (I believe).

The intercept points of rays with the primary mirror surface. These rays were fired "backward" from the center of the visible focal plane and completely fill the first lens aperture (surface 25 of the aft-optics objective assembly). There are a total of 289 of these points of which 145 (50%) are inside the clear aperture of the primary.

